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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

Applicant : Ge Li et al.  
Serial No. : 09/664,226  
Filed : September 18, 2000  
Title : AUCTION MANAGEMENT

Art Unit : 2161  
Examiner : Unknown



**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed November 15, 2000 (copy enclosed), Applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$355;
- ☒ Payment of the additional/multiple dependent claims fees of \$288;
- ☒ Payment of the surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application of \$65;
- ☒ A Verified Statement Claiming Small Entity Status; and
- ☒ Combined Declaration and Power of Attorney executed in compliance with 37 CFR §1.63.

It is understood that this perfects the application and that no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

1-9-01

Date of Deposit

*Denise Garrido*

Signature

*DENISE GARRIDO*

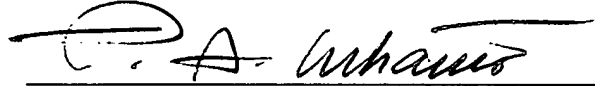
Typed or Printed Name of Person Signing Certificate

Applicant : Ge Li et al.  
Serial No. : 09/664,226  
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Page : 2

Attorney's Docket No.: 11951-002001

Respectfully submitted,

Date: January 9, 2001

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/664,226	09/18/2000	Ge Li	11951-002001

Fish & Richardson PC  
225 Franklin Street  
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## FORMALITIES LETTER



\*OC000000005557256\*

Date Mailed: 11/15/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$576.
  - \$576 for 32 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1396.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/12/2001 RH8R1S1 00000071 061050 09664225  
 355.00 OP  
 288.00 OP  
 55.00 OP  
 10.00 CH  
 03/06/2005  
 11/15/00